

1642  
IFWPTO/SB/17 (10-03)  
Approved for use through 07/31/2006. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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**FEE TRANSMITTAL**  
**for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$)**180.00****Complete if Known**

|                      |                        |
|----------------------|------------------------|
| Application Number   | 09/840,872             |
| Filing Date          | April 25, 2001         |
| First Named Inventor | ANTONIO J GRILLO-LOPEZ |
| Examiner Name        | Gary B. Nickol         |
| Art Unit             | 1642                   |
| Attorney Docket No.  | 037003-0280609         |

**METHOD OF PAYMENT** (check all that apply)☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☒ Deposit Account:Deposit  
Account  
Number  
Deposit  
Account  
Name

033975

PILLSBURY WINTHROP LLP

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) or any underpayment of fee(s)☐ Charge fee(s) indicated below, except for the filing fee to the above identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity        |          | Small Entity |          | Fee Description        | Fee Paid         |
|---------------------|----------|--------------|----------|------------------------|------------------|
| Fee Code            | Fee (\$) | Fee Code     | Fee (\$) |                        |                  |
| 1001                | 770      | 2001         | 385      | Utility filing fee     |                  |
| 1002                | 340      | 2002         | 170      | Design filing fee      |                  |
| 1003                | 530      | 2003         | 265      | Plant filing fee       |                  |
| 1004                | 770      | 2004         | 385      | Reissue filing fee     |                  |
| 1005                | 160      | 2005         | 80       | Provisional filing fee |                  |
| <b>SUBTOTAL (1)</b> |          |              |          |                        | <b>(\$)</b> 0.00 |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

|                    | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Total Claims       | 20** =       | X              |          |
| Independent Claims | 3** =        | X              |          |
| Multiple Dependent |              |                |          |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                          |
| 1203         | 290      | 2203         | 145      | Multiple dependent claim, if not paid                      |
| 1204         | 86       | 2204         | 43       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

**SUBTOTAL (2)** (\$)**0.00**

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

| Large Entity |          | Small Entity |          | Fee Description  | Fee Paid |
|--------------|----------|--------------|----------|--|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |          |
| 1051         | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |          |
| 1052         | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |          |
| 1053         | 130      | 1053         | 130      | Non-English specification  |          |
| 1812         | 2,520    | 1812         | 2,520    | For filing a request for <i>ex parte</i> reexamination                     |          |
| 1804         | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |          |
| 1805         | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |          |
| 1251         | 110      | 2251         | 55       | Extension for reply within first month                                     |          |
| 1252         | 420      | 2252         | 210      | Extension for reply within second month                                    |          |
| 1253         | 950      | 2253         | 475      | Extension for reply within third month                                     |          |
| 1254         | 1,480    | 2254         | 740      | Extension for reply within fourth month                                    |          |
| 1255         | 2,010    | 2255         | 1,005    | Extension for reply within fifth month                                     |          |
| 1401         | 330      | 2401         | 165      | Notice of Appeal   |          |
| 1402         | 330      | 2402         | 165      | Filing brief in support of an appeal                                       |          |
| 1403         | 290      | 2403         | 145      | Request for oral hearing   |          |
| 1451         | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |          |
| 1452         | 110      | 2452         | 55       | Petition to revive - unavoidable   |          |
| 1453         | 1,330    | 2453         | 665      | Petition to revive - unintentional   |          |
| 1501         | 1,330    | 2501         | 665      | Utility issue fee (or reissue)   |          |
| 1502         | 480      | 2502         | 240      | Design issue fee   |          |
| 1503         | 640      | 2503         | 320      | Plant issue fee  |          |
| 1460         | 130      | 1460         | 130      | Petitions to the Commissioner  |          |
| 1807         | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |          |
| 1806         | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |          |
| 8021         | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) | 180.00   |
| 1809         | 770      | 2809         | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |          |
| 1810         | 770      | 2810         | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |          |
| 1801         | 770      | 2801         | 385      | Request for Continued Examination (RCE)                                    |          |
| 1802         | 900      | 1802         | 900      | Request for expedited examination of a design application                  |          |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)** (\$)**180.00****SUBMITTED BY**

|                   |                       |                                   |              |           |                |
|-------------------|-----------------------|-----------------------------------|--------------|-----------|----------------|
| Name (Print/Type) | Thomas A. Cawley, Jr. | Registration No. (Attorney/Agent) | 40944        | Telephone | (703) 905-2144 |
| Signature         |                       | Date                              | May 27, 2004 |           |                |

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Docket Number: 037003-0280609

PATENT APPLICATION

Client Reference: 2000-30-0154A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re the Application of

ANTONIO J. GRILLO-LOPEZ

Group Art Unit: 1642

Application No.: 09/840,872

Examiner: Gary B. Nickol

Filed: April 25, 2001

Confirmation No.: 4921

For: INTRATHECAL ADMINISTRATION OF RITUXIMAB FOR TREATMENT OF  
CENTRAL NERVOUS SYSTEM LYMPHOMAS

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) listed on the attached PTO-1449. One copy of each reference is attached. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed after filing of a request for continued examination and after the mailing date of a subsequent official on the merits, but before the mailing date of a final rejection or notice of allowance. Payment of the requisite fee under 37 CFR 1.17(p) is enclosed.

Respectfully Submitted,

05/28/2004 EAREGAY1 00000073 033975 09840872

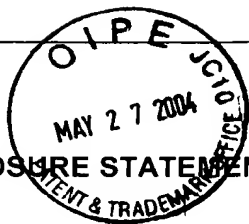
01 FC:1806 180.00 DA



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McLean, VA 22102  
TAC/JBM:ntb

☐ ☐

Atty. Dkt. No.  
037003-0280609Client Ref.  
2000-30-0154A

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant: GRILLO-LOPEZ

Appln. No.: 09/840,872

Filing Date: April 25, 2001

Date: May 27, 2004

Page 1 of 1

Examiner: Gary B. Nickol

Group Art Unit: 1642

## U.S. PATENT DOCUMENTS

| Examiner's Initials* |    | Document Number | Date MM/YYYY | Name (Family Name of First Inventor) | Class | Sub Class | Filing Date (if appropriate) |
|----------------------|----|-----------------|--------------|--------------------------------------|-------|-----------|------------------------------|
|                      | AR |                 |              |                                      |       |           |                              |
|                      | BR |                 |              |                                      |       |           |                              |
|                      | CR |                 |              |                                      |       |           |                              |
|                      | DR |                 |              |                                      |       |           |                              |
|                      | ER |                 |              |                                      |       |           |                              |
|                      | FR |                 |              |                                      |       |           |                              |
|                      | GR |                 |              |                                      |       |           |                              |

## FOREIGN PATENT DOCUMENTS

|  |    | Document Number | Date MM/YYYY | Country | Inventor Name |  | English Abstract | Translation Readily Available |
|--|----|-----------------|--------------|---------|---------------|--|------------------|-------------------------------|
|  | HR |                 |              |         |               |  |                  |                               |
|  | IR |                 |              |         |               |  |                  |                               |
|  | JR |                 |              |         |               |  |                  |                               |
|  | KR |                 |              |         |               |  |                  |                               |
|  | LR |                 |              |         |               |  |                  |                               |
|  | MR |                 |              |         |               |  |                  |                               |
|  | NR |                 |              |         |               |  |                  |                               |

OTHER (Including in this order Author, Title, Periodical Name, Date, Pertinent Pages, etc.)

|  |    |  |
|--|----|--|
|  | OR | <i>Idec Pharmaceuticals v. Corixa Corp.</i> , Case No. 01-1637-IEG [Doc. Nos. 486, 584] (S.D. Cal. Oct. 14, 2003). |
|  | PR | <i>Biogen Idec v. Corixa Corp.</i> , Case No. 01-1637-IEG [Doc. Nos. 635, 662, 486] (S.D. Cal. Jan. 22, 2004).     |
|  | QR |  |
|  | RR |  |
|  | SR |  |
|  | TR |  |
|  | UR |  |
|  | VR |  |
|  | WR |  |
|  | XR |  |

Examiner

Date Considered:

\*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.